

# Investment Switch Form

## DNR Capital Funds

This Form relates to a Product Disclosure Statement ("PDS") issued by The Trust Company (RE Services) Limited as part of the Perpetual group of companies ABN 45 003 278 831, AFSL 235150, for the offer of units in DNR Capital Australian Equities High Conviction Fund, DNR Capital Australian Emerging Companies Fund and DNR Capital Australian Equities Income Fund (the "DNR Capital Funds"). Terms defined in the PDS have the same meaning in this Investment Switch Form. The PDS contains important information about investing in the DNR Capital Funds, and you are advised to read the PDS before completing this Investment Switch Form.

As outlined in the PDS, withdrawal requests must be for a minimum of \$5,000 (per fund). If this request results in you holding less than the minimum investment amount, we may treat this request as being for all of your units.

If you have any questions relating to the completion of this form, please contact the unit registry on 1300 133 451.

### 1 Investment details

Account/investor name	
Account/investor number	

### 2 Details to be changed

From	DNR Capital Australian Equities High Conviction Fund DNR Capital Australian Emerging Companies Fund DNR Capital Australian Equities Income Fund
To	DNR Capital Australian Equities High Conviction Fund DNR Capital Australian Emerging Companies Fund DNR Capital Australian Equities Income Fund
Switch amount (complete one section only)	Amount in \$ Amount % Amount in units

### 3 Income distributions

Please nominate how you would like any distributions from the above you are switching into to be paid.

Income distribution is automatically reinvested unless otherwise instructed.

Distribution payment instructions (choose one payment instruction)	Please reinvest my distributions into the fund being switched into; or Please pay my distributions directly to my nominated bank account below.
Distribution bank account details. <small>The account must be held in the same name as the investment. The bank account must be domiciled in Australian and denominated in Australian dollars.</small>	Bank
	BSB
	Account number
	Account name
If you wish to have a separate bank account for redemption payments please complete this section.	Bank
	BSB
	Account number
	Account name

## 4 Declaration

I/we declare and agree each of the following:

- I/we have read the current PDS to which this application applies and have received and accepted the offer in it.
- My/our application is true and correct.
- I am/we are bound by any terms and conditions contained in the current PDS and the provisions of the constitution of the Fund as amended from time to time.
- I/we have legal power to invest.
- I/we acknowledge The Trust Company (RE Services) Limited is not bound to accept my/our application.
- If this is a joint application, each of us agrees that our investment is as joint tenants. Each of us is able to operate the account and bind the other to any transaction including investments or withdrawals by any available method.
- If investing as trustee on behalf of a super fund or trust, I/we confirm that I am/we are acting in accordance with my/our designated powers and authority under the relevant trust deed. In the case of a super fund, I/we also confirm that it is a complying fund under the Superannuation Industry (Supervision) Act 1993.
- I/we acknowledge that none of The Trust Company (RE Services) Limited ABN 45 003 278 831 or any of their related entities, officers or employees or any related company or any of the appointed service providers including the investment manager and custodian guarantee the repayment of capital or the performance of the Fund or of any particular rate of return by the Fund.
- I/we agree to the anti-money laundering and counter-terrorism financing statements contained in the PDS. I/we agree to provide further information or personal details to The Trust Company (RE Services) Limited and the custodian if required to meet their obligations under any anti-money laundering and counter-terrorism law and regulations, and acknowledge that processing of my/our application may be delayed and will be processed at the unit price applicable for the business day on which all required information has been received and verified.
- I/we have read and understood the privacy disclosure as detailed in the PDS. I/we consent to my/our personal information being collected, held, used and disclosed in accordance with the privacy disclosure. I/we consent to The Trust Company (RE Services) Limited disclosing this information to my/our financial adviser (named in this form) for units in the Fund. Where the financial adviser no longer acts on my/our behalf, I/we will notify The Trust Company (RE Services) Limited of the change.
- If I/we have appointed an authorised representative, I/we release, discharge and indemnify The Trust Company (RE Services) Limited from any loss, expense, action or other liability which may be suffered by, brought against me/us or The Trust Company (RE Services) Limited for any action or omissions by the authorised representative whether authorised by me/us or not.
- I/we certify that the information provided in the separate ID forms, including information relating to tax-related requirements, is reasonable based on verifiable documentation.
- The Trust Company (RE Services) Limited may be required to pass on my/our personal information or information about my/our investment to the relevant regulatory authorities, including for compliance with anti-Money laundering and counter-terrorism law and regulations as well as any tax-related requirements for tax residents of other countries.

## 5 Signatures

Please sign this form below. All signature(s) on this form must match the current signing instructions that we have on record.

<b>Investor type</b>	<b>Who should sign</b>
Individual	where the investment is in one name, the investor must sign
Joint investors	where the investment is in more than one name, all investors must sign
Company	two directors or a director and a company secretary must sign, unless you are a sole director and sole company secretary
Trust/Superannuation fund	each trustee must sign or, if a corporate trustee, then as for a company
Partnership	each partner
Association or Registered co-operative	each office bearer
Government body	relevant principal officer/authorised signatory
Power of Attorney	if signed by the investor's attorney, the power of attorney must have previously been provided. If not, a certified copy of the Power of Attorney's driver's license, passport or other photo identification which confirms the name, address and contains their signature must be attached to this form.

Applicant Signature 1

Full name

Date

Applicant Signature 2

Full name

Date

Tick capacity (mandatory for companies):

- Sole Director / Company Secretary
- Director
- Secretary
- Non-corporate trustee
- Partner
- Power of Attorney

Tick capacity (mandatory for companies):

- Sole Director / Company Secretary
- Director
- Secretary
- Non-corporate trustee
- Partner
- Power of Attorney

**Please send this form to:**

**By post**

Mainstream Fund Services Pty Ltd – Unit Registry  
 GPO BOX 4968  
 SYDNEY NSW 2001

**By email**

registry@mainstreamgroup.com

**Facsimile**

+612 9251 3525

Switch requests received by 2.00 p.m. Sydney time on a Business Day are deemed to be received that day. Requests received after 2.00pm Sydney time are deemed to be received the next Business Day.