

# Additional Application Form

## DNR Capital Funds

This Form relates to a Product Disclosure Statement ("PDS") issued by The Trust Company (RE Services) Limited as part of the Perpetual group of companies ABN 45 003 278 831, AFSL 235150, for the offer of units in the DNR Capital Australian Equities High Conviction Fund, the DNR Capital Australian Emerging Companies Fund and the DNR Capital Australian Equities Income Fund (the "DNR Capital Funds"). Terms defined in the PDS have the same meaning in this Additional Application Form. The PDS contains important information about investing in the Funds, and you are advised to read the PDS before completing this Additional Application Form.

As outlined in the PDS, additional investments requests must be for a minimum of \$5,000. Any application for amounts below the minimum requirement may not be accepted and a return of monies may incur a cost for the investor.

If you have any questions relating to the completion of this form, please contact the unit registry on 1300 133 451.

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Account/investor name

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Account/investor number

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### 1 Consumer attributes

Please confirm what category of investor you are. You must select one option. Failure to complete this will result in your application being rejected:

Wholesale Investor (as defined by section 761G of the Corporations Act 2001). If yes, please proceed to section 2.

Platform Provider. If yes, please proceed to section 2.

A Retail investor (as defined in the Corporations Act) that has received personal financial advice in respect to the Fund. You must ensure your Financial Adviser details are provided in section 7. We will be unable to process your application unless this section is completed. Please proceed to section 2.

A Retail investor (as defined in the Corporations Act) who has not received personal financial advice in respect of the Fund. Please complete the remaining part of this section before proceeding to section 2.

To assist the RE in meeting the Design and Distribution Obligations (DDO) you are required to indicate your consumer attributes in response to each of the questions set out below. Please ensure all questions are completed and you must select only one answer for each question otherwise your application will be rejected. These attributes should reflect your current objectives, financial situation and needs.

**WARNING:** If unsure on how to complete, we recommend you seek financial advice.

What is your primary investment objective?	Capital growth Capital preservation Income distribution	What is your investment time horizon?	Up to and including 2 years i.e. Short term More than 2 years but less than 5 years i.e. Medium term Equal to 5 years but less than 7 years i.e. Medium to long term Equal to 7 years or more i.e. Long term
What is your intended use of this investment in your investment portfolio?	Standalone portfolio up to 100% Major allocation up to 75% Core component up to 50% Minor allocation up to 25% Satellite component up to 10%	What do you anticipate your withdrawal needs?	Weekly Monthly Quarterly Yearly More often than one year

What is your tolerance for risk (able to bear loss)?	Extremely high Very high High Medium Low	Where did you hear about the Fund?	Financial adviser Platform Research house Other please specify
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## 2 Additional investments

Fund	DNR Capital Australian Equities High Conviction Fund	DNR Capital Australian Emerging Companies Fund	DNR Capital Australian Equities Income Fund
APIR	PIM0028AU	PIM4357AU	PIM8302AU
ARSN	604 465 849	627 783 957	639 285 902
Initial investment (subject to minimums)			
Source of funds being invested (choose most relevant)	Retirement income Employment income Business activities Sale of assets Inheritance/gifts Financial investments Other (please specify)	Retirement income Employment income Business activities Sale of assets Inheritance/gifts Financial investments Other (please specify)	Retirement income Employment income Business activities Sale of assets Inheritance/gifts Financial investments Other (please specify)
Please indicate how the investment will be paid.	<p>BPAY® Bill Code: 384776 CRN: Please obtain your CRN from your initial application confirmation statement or contact the Apex Unit Registry team on 1300 133 451</p> <p>Cheque The Trust Company (RE Services) Limited RE &lt;DNR Capital Australian Equities High Conviction Fund&gt; Application Account</p> <p>Direct Credit/ Electronic Funds Transfer Bank National Australia Bank BSB 082-401 Account number 73 455 8644 Account name The Trust Company (RE Services) Limited &lt;DNR Capital Australian Equities High Conviction Fund&gt; Application Account</p> <p>Reference Please insert 'Investor surname/company or trust name' (as applicable)</p>	<p>BPAY® Bill Code: 384784 CRN: Please obtain your CRN from your initial application confirmation statement or contact the Apex Unit Registry team on 1300 133 451</p> <p>Cheque The Trust Company (RE Services) Limited RE &lt;DNR Capital Australian Emerging Companies Fund&gt; Application Account</p> <p>Direct Credit/ Electronic Funds Transfer Bank National Australia Bank BSB 082-057 Account number 22 812 9820 Account name The Trust Company (RE Services) Limited &lt;DNR Capital Australian Emerging Companies Fund&gt; Application Account</p> <p>Reference Please insert 'Investor surname/company or trust name' (as applicable)</p>	<p>BPAY® Bill Code: 384750 CRN: Please obtain your CRN from your initial application confirmation statement or contact the Apex Unit Registry team on 1300 133 451</p> <p>Cheque The Trust Company (RE Services) Limited RE &lt;DNR Capital Australian Equities Income Fund&gt; Application Account</p> <p>Direct Credit/ Electronic Funds Transfer Bank National Australia Bank BSB 082-057 Account number 90 283 9462 Account name The Trust Company (RE Services) Limited &lt;DNR Capital Australian Equities Income Fund&gt; Application Account</p> <p>Reference Please insert 'Investor surname / company or trust name' (as applicable)</p>

®Registered to BPAY Pty Ltd ABN 69 079 137 518.

### 3 Declaration

I/we declare and agree each of the following:

- I/we have read the current PDS and acknowledge this additional application request is subject to the terms and conditions set out in the current PDS.
- My/our application is true and correct.
- I am/we are bound by any terms and conditions contained in the current PDS and the provisions of the constitution of the Fund as amended from time to time.
- I/we have legal power to invest.
- I/we acknowledge The Trust Company (RE Services) Limited is not bound to accept my/our application.
- If this is a joint application, each of us agrees that our investment is as joint tenants. Each of us is able to operate the account and bind the other to any transaction including investments or withdrawals by any available method.
- If investing as trustee on behalf of a super fund or trust, I/we confirm that I am/we are acting in accordance with my/our designated powers and authority under the relevant trust deed. In the case of a super fund, I/we also confirm that it is a complying fund under the Superannuation Industry (Supervision) Act 1993.
- I/we acknowledge that none of The Trust Company (RE Services) Limited ABN 45 003 278 831 or any of their related entities, officers or employees or any related company or any of the appointed service providers including the investment manager and custodian guarantee the repayment of capital or the performance of the Fund or of any particular rate of return by the Fund.
- I/we have read and understood the privacy disclosure as detailed in the current PDS. I/we consent to my/our personal information being collected, held, used and disclosed in accordance with the privacy disclosure. I/we consent to The Trust Company (RE Services) Limited disclosing this information to my/our financial adviser (named in this form) for units in the Fund. Where the financial adviser no longer acts on my/our behalf, I/we will notify The Trust Company (RE Services) Limited of the change.
- If I/we have appointed an authorised representative, I/we release, discharge and indemnify The Trust Company (RE Services) Limited from any loss, expense, action or other liability which may be suffered by, brought against me/us or The Trust Company (RE Services) Limited for any action or omissions by the authorised representative whether authorised by me/us or not.
- The Trust Company (RE Services) Limited may be required to pass on my/our personal information or information about my/our investment to the relevant regulatory authorities, including for compliance with anti-money laundering and counter-terrorism law and regulations as well as any tax-related requirements for tax residents of other countries.

## 4 Signatures

Investor type	Who should sign
Individual	where the investment is in one name, the investor must sign
Joint investors	where the investment is in more than one name, all investors must sign
Company	two directors or a director and a company secretary must sign, unless you are a sole director and sole company secretary
Trust/Superannuation fund	each trustee must sign or, if a corporate trustee, then as for a company
Partnership	each partner
Association or Registered co-operative	each office bearer
Government body	relevant principal officer/authorised signatory
Power of Attorney	if signed by the investor's attorney, the power of attorney must have previously been provided. If not, a certified copy of the Power of Attorney's driver's license, passport or other photo identification which confirms the name, address and contains their signature must be attached to this form.

Applicant 1 Signature

Full name

Date

Tick capacity (mandatory for companies):

Sole Director / Company Secretary  
 Director  
 Secretary  
 Non-corporate trustee  
 Partner  
 Power of Attorney

Applicant 2 Signature

Full name

Date

Tick capacity (mandatory for companies):

Sole Director / Company Secretary  
 Director  
 Secretary  
 Non-corporate trustee  
 Partner  
 Power of Attorney

### Please send this form to:

#### By post

Apex Fund Services Pty Ltd – Unit Registry  
 GPO BOX 4968  
 SYDNEY NSW 2001

#### By email

registry@apexgroup.com

#### Facsimile

+612 9251 3525

Please ensure that you have transferred your application monies or enclose a cheque for payment.

Please note: investment instructions received before 2.00 p.m. Sydney time will be processed on the same Business Day (direct credit transfer only).

Investment instructions accompanied by a cheque will be processed when the cheque amount has cleared into the application account.