

The Trust Company  
(RE Services) Limited  
ACN 003 278 831  
ABN 45 003 278 831  
AFSL 235150

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Sydney, NSW 2000

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[www.perpetual.com.au](http://www.perpetual.com.au)



29 October 2018

Dear Investors:

Level 3, 530 Collins Street PO Box 361 Collins Street West Melbourne VIC 8007	Freecall: 1800 650 358 P: (03) 9665 0200 F: (03) 9620 3459 <a href="http://www.thetrustcompany.com.au">www.thetrustcompany.com.au</a>
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**DNR Capital Australian Equities High Conviction Fund**  
**DNR Capital Australian Emerging Companies Fund**  
**(the "Funds")**

**ARSN 604 465 849**  
**ARSN 627 783 957**

**Important Notice to Unitholders pursuant to section 1017B of the Corporations Act**

**RE: Investor Enquiries and Complaints**

We, The Trust Company (RE Services) Limited, are the responsible entity of the Funds ("we" or "Perpetual" or the "Responsible Entity"). The investment manager of the Funds is DNR Capital Pty Ltd (the "Investment Manager").

**External complaints resolution scheme: Australian Financial Complaints Authority**

The Responsible Entity has established procedures for dealing with complaints. If an investor has a complaint, they can contact the Responsible Entity or the Investment Manager during business hours.

The Responsible Entity will use reasonable endeavours to deal with and resolve the complaint within a reasonable time but in any case, no later than 45 days after receipt of the complaint.

If an investor is not satisfied with the outcome, the complaint can be referred to the Financial Ombudsman Service (FOS), an external complaints resolution scheme of which the Responsible Entity is a member. FOS's postal address is GPO Box 3, Melbourne, Victoria 3001 and the toll-free number is 1300 780 808. FOS' role and terms of reference are specified in FOS' Rules available from their website [www.fos.org.au](http://www.fos.org.au)

If you lodge a complaint on or after 1 November 2018, you can lodge it with the Australian Financial Complaints Authority (**AFCA**). From 1 November 2018, AFCA will be the new external dispute resolution scheme for complaints involving financial services and products and will replace FOS. On and after 1 November 2018, you can contact AFCA on 1800 931 678, or by writing to:

Australian Financial Complaints Authority  
GPO Box 3  
Melbourne VIC 3001  
Email: [info@afca.org.au](mailto:info@afca.org.au)  
Website: [www.afca.org.au](http://www.afca.org.au)

The FOS will continue for a further period to deal with any open disputes it has at the commencement date of AFCA.

DNR Capital Pty Ltd is an authorised representative (Rep No 294844) of DNR AFSL Pty Ltd (ABN 39 118 946 400) and is authorised to provide financial services on its behalf. DNR AFSL Pty Ltd holds an Australian Financial Services (AFS) Licence (AFSL 301658) and is a wholly owned subsidiary of DNR Capital Pty Ltd. Please note that DNR AFSL Pty Ltd is a member of AFCA, to whom any complaints may be directed as above.

All investors (regardless of whether you hold Units in the Fund directly or hold Units indirectly via a Platform) can access Perpetual's complaints procedures outlined above. If investing via a Platform and your complaint concerns the operation of the Platform, then you should contact the Platform operator directly.

If you have any questions about the above, please do not hesitate to contact the Client Services team from DNR Capital on (07) 3229 5531 or via email to [info@dnrcapital.com.au](mailto:info@dnrcapital.com.au).

Any escalation of questions can also be made to Vinodh Venkataraman from the Responsible Entity on (02) 9229 3256 or via email to [vinodh.venkataraman@perpetual.com.au](mailto:vinodh.venkataraman@perpetual.com.au).

Your sincerely

A handwritten signature in black ink, appearing to read 'V Venkataraman', is positioned above the typed name and title.

Head of Responsible Entity Services  
Perpetual Corporate Trust

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